PARTICIPANT INSTRUCTIONS

- The event will be presented to you through your reading of the General Performance Indicators, Specific Performance Indicators and Case Study Situation. You will have up to 30 minutes to review this information and prepare your presentation. You may make notes to use during your presentation.
- You will have up to 15 minutes to make your presentation to the judge (you may have more than one judge).
- Turn in all your notes and event materials when you have completed the event.

GENERAL PERFORMANCE INDICATORS

- Communications skills—the ability to exchange information and ideas with others through writing, speaking, reading or listening
- Analytical skills—the ability to derive facts from data, findings from facts, conclusions from findings and recommendations from conclusions
- Production skills—the ability to take a concept from an idea and make it real
- Priorities/time management—the ability to determine priorities and manage time commitments
- Economic competencies

SPECIFIC PERFORMANCE INDICATORS

1. Comply with financial reporting and internal control laws and regulations in corporate finance.

2. Comply with U.S. Securities and Exchange Commission Division of Corporation Finance requirements.

3. Discuss functional areas of a compliance audit.

4. Discuss the nature and scope of compliance in the finance industry.

5. Discuss the nature of law and sources of law in the United States.
CASE STUDY SITUATION

You are to assume the role of internal audit manager responsible for all financial audits and compliance reviews of HUNTINGTON HOTELS. The CEO has asked for a comprehensive review of the company’s financial reporting compliance. As the fastest growing hotel chain in the United States, the CEO is required by laws and regulations to have full faith and confidence in the financial statements.

YOUR CHALLENGE

You are tasked with highlighting the upcoming compliance audit you will be completing within the next fiscal quarter. Be sure to clearly explain:

1. What will be done to ensure HUNTINGTON HOTELS is compliant with the laws and regulations pertaining to financial reporting and internal controls as outlined by the U.S. Securities and Exchange Commission.

2. What specific areas will the compliance cover, including the nature and scope.


You will present the information to the CEO in the CEO’s office. The CEO will begin by greeting you and asking to hear the information. The CEO may be accompanied by other company executives.

You will receive 2-3 questions from the judge pertaining to the case situation.
**CORPORATE FINANCE**

**JUDGE EVALUATION FORM**  
2017-2018 Web Sample

**INSTRUCTIONAL AREA**  
Business Law

---

**Participant:** ______________________________

**I.D. Number:** ______________________________

---

**Did the participant:**

<table>
<thead>
<tr>
<th>PERFORMANCE INDICATORS</th>
<th>Little/No Value</th>
<th>Below Expectations</th>
<th>Meets Expectations</th>
<th>Exceeds Expectations</th>
<th>Judged Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Comply with financial reporting and internal control laws and regulations in corporate finance?</td>
<td>0-1-2-3</td>
<td>4-5-6-7-8</td>
<td>9-10-11-12</td>
<td>13-14-15</td>
<td></td>
</tr>
<tr>
<td>2. Comply with U.S. Securities and Exchange Commission Division of Corporation Finance requirements?</td>
<td>0-1-2-3</td>
<td>4-5-6-7-8</td>
<td>9-10-11-12</td>
<td>13-14-15</td>
<td></td>
</tr>
<tr>
<td>3. Discuss functional areas of a compliance audit?</td>
<td>0-1-2-3</td>
<td>4-5-6-7-8</td>
<td>9-10-11-12</td>
<td>13-14-15</td>
<td></td>
</tr>
<tr>
<td>4. Discuss the nature and scope of compliance in the finance industry?</td>
<td>0-1-2-3</td>
<td>4-5-6-7-8</td>
<td>9-10-11-12</td>
<td>13-14-15</td>
<td></td>
</tr>
<tr>
<td>5. Discuss the nature of law and sources of law in the United States?</td>
<td>0-1-2-3</td>
<td>4-5-6-7-8</td>
<td>9-10-11-12</td>
<td>13-14-15</td>
<td></td>
</tr>
</tbody>
</table>

**PRESENTATION**

<table>
<thead>
<tr>
<th></th>
<th>Little/No Value</th>
<th>Below Expectations</th>
<th>Meets Expectations</th>
<th>Exceeds Expectations</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. Demonstrate clarity of expression?</td>
<td>0-1</td>
<td>2-3</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>7. Organize ideas?</td>
<td>0-1</td>
<td>2-3</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>8. Show evidence of mature judgment?</td>
<td>0-1</td>
<td>2-3</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>9. Overall performance: appropriate appearance, poise, confidence, presentation, technique and responses to judge’s questions?</td>
<td>0-1-2</td>
<td>3-4-5</td>
<td>6-7-8</td>
<td>9-10</td>
</tr>
</tbody>
</table>

**TOTAL SCORE**